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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4055 618411-1	U.S. SERIAL NO. 09/733,540
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT Michael Wray	
	FILING DATE December 7, 2000	GROUP <u>2134</u> Not Yet Assigned

February 12, 2001

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE OR 102(e) DATE IF APPROPRIATE
<u>nv</u>	5,218,637	6/8/93	Angebaut, et al.	—	—	
<u>l</u>	5,907,621	5/25/99	Bachman, et al.	1	1	
	5,515,441	5/7/96	Faucher	1	1	
	5,958,050	9/28/99	Griffen, et al.	1	1	
<u>l</u>	5,497,422	3/5/96	Tysen, et al.	1	1	

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EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
<u>nv</u>	0 328 232 A2	8/16/89	EP	—	—	
<u>l</u>	0 402 083 A2	12/12/90	EP	1	1	
	0 503 765 A2	9/16/92	EP	1	1	
	0 586 022 A1	9/3/94	EP	1	1	
	0 651 533 A2	3/5/95	EP	1	1	
	0 969 366 A1	5/1/00	EP	1	1	
	2 323 757 A	9/30/98	GB	1	1	
	2 333 878 A	8/4/99	GB	1	1	
	11184818A	7/9/99	JP	1	1	
	11031129A	2/2/99	JP	1	1	
	00/08818 A1	2/17/00	WO	1	1	
	95/23468 A1	8/31/95	WO	1	1	
	96/02993 A2	2/1/96	WO	1	1	
<u>l</u>	98/38759 A2	9/3/98	WO	1	1	



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✓	Dierkes, T., et al., "The TLS Protocol, Version 1.0", IETF RFC2246, Network Working Group, January 1999, pages 1-67
✓	Elie, Jean-Emile, "Certificate Discovery Using SPKI/SDSI 2.0 Certificates", Masters Thesis MIT LCS, available at <a href="http://theory.lcs.mit.edu/~cis/theses/elie-masters.ps">http://theory.lcs.mit.edu/~cis/theses/elie-masters.ps</a> , May 1998, pages 11-54
✓	Ellison, C., "SPKI Requirements", IETF RFC 2692, Network Working Group, September 1999, pages 1-14
✓	Ellison, C., "Simple Public Key Certificate", IETF draft draft-ietf-spki-cert-structure-05.txt, available at <a href="http://www.clark.net/pub/cme/spki.txt">http://www.clark.net/pub/cme/spki.txt</a> , March 13, 1998, pages 1-35
✓	Ellison, C., et al., "SPKI Certificate Theory", IETF RFC2693, Network Working Group, September 1999, pages 1-36
✓	Ellison, C., et al., "SPKI Examples", <draft-ietf-spki-cert-examples-01.txt>, available at <a href="http://www.clark.net/pub/cme/examples.txt">http://www.clark.net/pub/cme/examples.txt</a> , March 10, 1998, pages 1-13
✓	Farrell, S., et al., "Limited AttributeCertificate Acquisition Protocol", available at <a href="http://search.ietf.org/internet-drafts/draft-ietf-pkix-laap-00.txt">http://search.ietf.org/internet-drafts/draft-ietf-pkix-laap-00.txt</a> , Internet Engineering Task Force, PKIX Working Group, Internet Draft, published October 1999, pages 1-10
✓	Harkins, D., et al., "The Internet Key Exchange (IKE)", IETF RFC 2409, Network Working Group, November 1998, pages 1-34
✓	Hewlett-Packard Company, "e-Speak Architecture Specification", Version Beta 2.0, available at <a href="http://www.e-speak.hp.com/">http://www.e-speak.hp.com/</a> , September 1999, pages i-xvi, 1-200
✓	Kent, S., et al., "Security Architecture for the Internet Protocol", IETF RFC 2401, Network Working Group, November 1998, pages 1-66
✓	Merkow, Mark, "More Than A Language - XML Is A Security Tool Too!", Internet.com e-Commerce Guide, available at <a href="http://ecommerce.internet.com/outlook/print/0,,7761_124821,00.html">http://ecommerce.internet.com/outlook/print/0,,7761_124821,00.html</a> , May 13, 1999, pages 1-4
✓	National Institute of Standards and Technology, Data Encryption Standard (DES), DRAFT FIPS PUB 46-3, U.S. Department of Commerce, available at <a href="http://www.ncsl.nist.gov/fips/">http://www.ncsl.nist.gov/fips/</a> , January 20, 1999, pages 1-20

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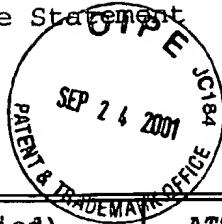
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7.	✓	National Institute of Standards and Technology, <i>Des Modes of Operation</i> , FIPS PUB 81, available at <a href="http://www.itl.nist.gov/fipspubs/">http://www.itl.nist.gov/fipspubs/</a> , December 2, 1980, pages 1-22
1	✓	National Institute of Standards and Technology, <i>Secure Hash Standard</i> , FIPS PUB 180-1, available at <a href="http://www.itl.nist.gov/fipspubs/">http://www.itl.nist.gov/fipspubs/</a> , April 17, 1995, pages 1-16
		Reagle, Jr., Joseph, editor, W3C Working Draft, "XML Signature Requirements", IETF, available at <a href="http://www.w3.org/TR/xmlsig-requirements">http://www.w3.org/TR/xmlsig-requirements</a> , October 14, 1999, pages 1-6
		Rivest, R., "S-Expressions draft-rivest-sexp-00.txt", Network Working Group, available at <a href="http://theory.lcs.mit.edu/~rivest/sexp.txt">http://theory.lcs.mit.edu/~rivest/sexp.txt</a> , May 4, 1997, pages 1-11

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	9/30/04

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LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT Michael Wray, et al.	
	FILING DATE December 7, 2000	GROUP 2134 2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102 (e) DATE IF APPROPRIATE
~	5,923,842	07/13/99	Pedersen, et al.	395	188.01	03/06/97
~	5,819,044	10/06/98	Kawabe, et al.	395	200.56	09/27/95

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	DOCUMENT NUMBER	ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
~	EP 0 989 501 A2	03/29/00	EP	—	—	
~	EP 0 820 176 A2	01/21/98	EP	—	—	
	JP 8110869	04/30/96	JP			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
~	9/13/01

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